

**PAPER DOCUMENT SATCHELS**BACKGROUND OF THE INVENTION1. Field of Invention

5 The invention relates to a method and system for using paper document satchels. In particular, the invention is directed to encoding areas of a paper document so that those areas may be scanned into a processing system in order to access additional documents, information, etc.

10 2. Description of Related Art

Modern electronic document processing systems generally include input scanners for electronically capturing the general appearance of the document, general purpose computers for enabling users to create, edit and otherwise manipulate electronic documents, and printers for producing hard copy, human-readable versions of electronic documents. These systems typically have convenient access to mass memory for storage and retrieval of electronic document files. Moreover, they often are networked by local area networks (LANs), switched data links, and the like for facilitating the interchange of digital electronic documents and for providing multi-user access to shared system resources, such as high-speed electronic printers and electronic file servers.

25 U.S. Patent No. 5,486,686 to Zdybel, Jr. et al., issued January 23, 1996, which is incorporated herein by reference in its entirety, discusses the transfer of electronic documents between electronic document processing systems. Electronic documents can be transferred in the form of what may be termed as document satchels. Document satchels may be, for example, small low-power, interactive devices such as pagers or cellular phones for easily exchanging electronic documents or for requesting common operations, such as printing. Document satchels can take many forms

with different attributes. Document satchels are characterized by their portability, facility for carrying a set of document tokens, facility for carrying identity information about the owner, and facility for communicating with the infrastructure in other document satchels to perform abstract operations on tokens in a streamlined way.

Apart from the portability, each of these features requires some explanation. Satchels are fundamentally devices for trafficking in tokens. A token has two parts, the reference and the access information. Basically, a token is a pointer to a document, not a copy of a document. Since it is a pointer, a token is relatively small in size and multiple tokens can be stored in a small space, such as the memory available in a simple device.

In addition, a token is more than a simple reference because it includes access information. The access information part of a token can accommodate a number of different types of data. Security data is a prime example. Other possibilities include indications of pricing, legal restrictions, or ratings.

Moreover, access information might be useful to help a user deal with a document, such as a record of where the document is stored in the holder's hierarchy, or a human-readable string identifying the document. Most, if not all, of these supplementary types of data would be optional and unused for some documents. In general, however, the access information part of tokens would make them awkward to transfer by hand copying, dictation or similar methods. A facility for electronic transfer of these document references would be truly valuable.

Associated with each satchel is information which identifies the owner. That information is used to add context to the record of a token transfer. The recipient of a token can later find it by the identity of the

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person who furnished it, or by the location in which the transfer took place, if location information was available. Different items could be included in the identity information, such as the owner's name, e-mail address, telephone number and public key for signing secure messages.

The ability to communicate makes a satchel useful. In order to serve mobile workers well, satchel designers have selected certain common document operations which would be very simple to perform. Central to all of these operations is the transfer of tokens.

The user interface for this operation would be very simple because the physical and communication contexts narrow the range of possible actions. Other operations, such as printing or faxing a copy of a document, can be handled in a very similar way from the user's point of view. However, there are important pieces of infrastructure which operate behind the scenes to make the user-level simplicity possible. For example, when a token is transferred with a user's identity to a printer for printing the document, the satchel print service may need to take a number of steps to complete the operation. Initially, the document itself must be obtained, which may require authentication and/or electronic payment. If a printable version of the document is not available from the original repository, a conversion service must be invoked to produce a version suitable for the target printer. Finally, the job must be submitted for printing using whatever mechanisms are appropriate, including handling of authentication and billing, if required.

Conventionally, as shown in Figure 1, tokens are generated by a token generator 110 located in a first CPU 105. These document tokens can be transferred to a second CPU 145 over a distributed network through the network interfaces 135 and 140.

Alternatively, the document tokens can be transferred to a first information bus 115, where they may be stored in a first memory 120, on a first hard disk 125 or sent to a first floppy disk drive 130 for storage on a floppy disk 170.

The floppy disk 170 can then be input into a second computer system through a second floppy disk drive 155. The tokens input from the floppy disk 170 can then be sent through a second information bus 150 to the second CPU 145 of the second computer system, or they may be stored on a second hard disk 160 or in a second memory 165.

Thus, by transferring the tokens electronically, copying and carrying paper documents can be avoided because references to electronic versions of paper documents can be conveniently handled instead.

However, paper documents are still a primary medium for written communications and for record keeping. Paper documents can be easily replicated by photocopying, they can be distributed and filed in original or photocopied form, and facsimiles of paper documents can be transmitted to remote locations over the public switched telephone network. Paper and other hard copy documents are so pervasive that they are not only a common output product of electronic document processing systems, but also are an important source of input data to such systems.

#### SUMMARY OF THE INVENTION

Paper document satchels are traditional printed documents that have been augmented to function as digital devices by encoding on the paper software objects with well-defined, general semantics. Paper document satchels enable electronic documents to be transported by paper. The encoded software objects communicate completed documents, or references to documents, to document services.

These and other features and advantages of this invention are described in or are apparent from the following detailed description of the preferred embodiments.

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#### BRIEF DESCRIPTION OF THE DRAWINGS

The invention is described in detail with reference to the following drawings, wherein like numerals represent like elements and wherein:

Figure 1 is a diagram illustrating how electronic documents are conventionally transferred between computer systems;

Figure 2 is a diagram illustrating how document tokens are transferred between computer systems using paper document satchels;

Figure 3 is a diagram of the flow of tokens between computer systems; and

Figures 4A and 4B show examples of paper document satchels.

#### DETAILED DESCRIPTION OF PREFERRED EMBODIMENTS

A paper document satchel is an ordinary piece of paper that functions as a satchel by virtue of the data encoded in the printed marks placed on the page. For convenience in streamlining operations, the data must be machine readable. There are several technologies that could be used to satisfy this requirement, including optical character recognition (OCR) and traditional bar codes.

By embedding data within a printed document, using, for example, DataGlyphs, the paper itself can become a fully functional document satchel.

Embedding tokens in paper documents makes it easier to follow references, obtain additional copies, forward copies, and keep copies without carrying the physical paper from wherever it was encountered.

For example, as shown in Figure 2, paper document satchels modify the transfer of tokens between computer systems shown in Figure 1. The token generator 210 of

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content of the references, the documents, the services, the programs and the like is sent to a combiner/encoder layout engine 330. The tokens generated by the token generator 310 can be sent directly to the  
 5 combiner/encoder layout engine 330 or can be temporarily stored in a memory 320 before being sent to the combiner/encoder layout engine 330. Once the document content and the tokens are paired in the combiner/encoder layout engine 330, documents with embedded tokens can be  
 10 printed by the printer 340 and placed onto sheets of paper 350.

To read the embedded tokens, the paper is scanned by a scanner 360. The image data is then sent to a segmenter/token decoder 370. The segmenter/token decoder  
 15 370 takes the image data, finds and identifies the tokens, decodes the tokens, and generates a list of the tokens. The list of the tokens is sent to a token selector 380, which allows the user to choose which tokens to access. Once one or more tokens are selected,  
 20 they can be stored in a memory 385 or sent to an interpreter 390.

The interpreter 390 can take several forms. For example, the interpreter 390 can be a pay phone, which reads tokens printed on a calling card. In addition, the  
 25 interpreter 390 can be a security card reader, authentication device or any other device which needs to interpret information in regard to a specific user, a specific document, or the like. The interpreter 390 can then perform a function, run a program, access a service,  
 30 retrieve a document, or perform whatever function the user of the token has available, based on the contents of the token.

As shown in Figure 3, generating and encoding the tokens for use in paper document satchels is preferably  
 35 implemented on a programmed general purpose computer. However, generating and encoding the tokens can also be implemented on a special purpose computer, a programmed

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microprocessor or microcontroller and peripheral integrated circuit elements, an ASIC or other integrated circuit, a hardwired electronic or logic circuit such as a discrete element circuit, a programmable logic device such as a PLD, PLA, FGPA or PAL, or the like. In general, any device on which a finite state machine capable of implementing the functions shown in Figure 3 can be used to generate and encode the tokens.

Figures 4A and 4B show two examples of paper document satchels. Figure 4A shows a document which can be taken to a business meeting and contains author information, data information and additional reference information which may be accessed by the document recipient. For example, the encoded area 430 could be the document author's information, which could include name, address, phone number, date, and resume information, etc. The encoded areas 440 and 450 may hold tokens for accessing additional documents that may provide reference information (i.e., documents that are normally footnoted), for example. Encoded area 460 may provide information for accessing the data set used to generate the chart, for example. The encoded area 470 may include tokens that when scanned, provide a simulation of the environment described in the document, for example. The encoded areas 480 provide access to additional reading on the subject matter of the document, for example.

The encoded areas on the document may also be accompanied with a human readable identifier 485, such as an icon, descriptive text, a logo, etc. The human readable identifier 485 will enable the document user to quickly identify whether the encoded information may be useful for a particular purpose, such as background information, reference information, supporting data, etc. For example, human readable identifiers 485 for references may include a portion of a title, the word "reference", a picture of a book, the letter "R", etc.



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apparent to those skilled in the art. Accordingly, the preferred embodiments of the invention as set forth herein are intended to be illustrative, not limiting. Various changes may be made without departing from the spirit and scope of the invention as defined in the following claims.

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